



Dkt. #706-A-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : JIANG, Shibo and DEBNATH, Asim Kumar  
U.S. Serial No.: 10/706,027  
Filed Date : November 12, 2003  
For : COMPOUNDS FOR INHIBITION OF HIV INFECTION  
BY BLOCKING HIV ENTRY

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December 16, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, Applicants would like to direct the Examiner's attention to the following references which are listed below and on PTO/SB/08B (**Exhibit A**), with each individual reference further attached as **Exhibit 1** through **14**.

1. Chan , D. C., C. T. Chutkowski , and P. S. Kim . 1998. Evidence that a prominent cavity in the coiled coil of HIV type 1 gp41 is an attractive drug target. Proc. Natl . Acad. Sci . U S A 95:15613-15617. [**Exhibit 1**]
2. Chan , D. C., D. Fass , J. M. Berger , and P. S. Kim . 1997. Core structure of gp41 from the HIV envelope glycoprotein. Cell 89:263-273. [**Exhibit 2**]
3. Debnath, A. K., L. Radigan, and S. Jiang. 1999. Structure-based identification of small molecule antiviral compounds targeted to the gp41 core

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Page : 2

- structure of the human immunodeficiency virus type 1. J. Med. Chem. 42:3203-3209. **[Exhibit 3]**
4. Eckert, D. M., V. N. Malashkevich, L. H. Hong, P. A. Carr, and P. S. Kim . 1999. Inhibiting HIV-1 entry: discovery of D-peptide inhibitors that target the gp41 coiled-coil pocket. Cell 99:103-115. **[Exhibit 4]**
  5. Ernst, J. T., O. Kutzki, A. K. Debnath, S. Jiang, H. Lu, and A. D. Hamilton. 2002. Design of a Protein Surface Antagonist Based on alpha-Helix Mimicry: Inhibition of gp41 Assembly and Viral. Angew. Chem. Int. Ed Engl. 41:278-281. **[Exhibit 5]**
  6. Jiang, S. and A. K. Debnath. 2000. A salt bridge between an N-terminal coiled coil of gp41 and an antiviral agent targeted to the gp41 core is important for anti-HIV-1 activity. Biochem. Biophys. Res. Commun. 270:153-157. **[Exhibit 6]**
  7. Jiang, S., K. Lin, and M. Lu. 1998. A conformation-specific monoclonal antibody reacting with fusion-active gp41 from the HIV-1 envelope glycoprotein. J. Virol. 72:10213-10217. **[Exhibit 7]**
  8. Jiang, S., K. Lin, N. Strick, and A. R. Neurath. 1993. HIV-1 inhibition by a peptide. Nature 365:113. **[Exhibit 8]**
  9. Jiang, S., K. Lin, L. Zhang, and A. K. Debnath. 1999. A screening assay for antiviral compounds targeted to the HIV-1 gp41 core structure using a conformation-specific monoclonal antibody. J. Virol. Methods 80:85-96. **[Exhibit 9]**
  10. Jiang, S., Q. Zhao, and A. K. Debnath. 2002. Peptide and Non-peptide HIV Fusion Inhibitors. Curr. Pharm. Des. 8:563-580. **[Exhibit 10]**
  11. Lin, P. F., W. Blair, T. Wang, T. Spicer, Q. Guo, N. Zhou, Y. F. Gong, H. G. Wang, R. Rose, G. Yamanaka, B. Robinson, C. B. Li, R. Fridell, C. Deminie, G. Demers, Z. Yang, L. Zadjura, N. Meanwell, and R. Colonna.

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U.S. Serial No.: 10/706,027  
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Page : 3

2003. A small molecule HIV-1 inhibitor that targets the HIV-1 envelope and inhibits CD4 receptor binding. Proc. Natl. Acad. Sci. U. S. A 100:11013-11018.

**[Exhibit 11]**

12. Liu, S., Q. Zhao, and S. Jiang. 2003. Determination of the HIV-1 gp41 postfusion conformation modeled by synthetic peptides: applicable for identification of the HIV-1 fusion inhibitors. Peptide In press.

**[Exhibit 12]**

13. Weissenhorn , W., A. Dessen , S. C. Harrison , J. J. Skehel , and D. C. Wiley . 1997. Atomic Structure of the Ectodomain from HIV-1 gp41. Nature 387:426-428.

**[Exhibit 13]**

14. Zhao, Q., J. T. Ernst, A. D. Hamilton, A. K. Debnath, and S. Jiang. 2002. XTT formazan widely used to detect cell viability inhibits HIV type 1 infection in vitro by targeting gp41. AIDS Res. Hum. Retroviruses 18:989-997.

**[Exhibit 14]**

If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

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Page : 4

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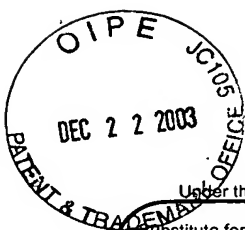
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Albert Wai Kit Chan 12/16/03  
Albert Wai-Kit Chan Date  
Reg. No. 36,479

Respectfully submitted,

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PTO/SB/08B (04-03)

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Compl te if Known</b>	
		Application Number	10/706,027
		Filing Date	November 12, 2003
		First Named Inventor	JIANG, Shibo
		Art Unit	
		Examiner Name	
Sheet 1	of 2	Attorney Docket Number	706-A-US

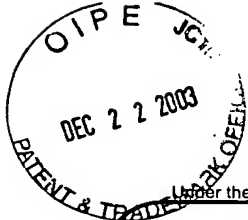
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	Chan, D. C., C. T. Chutkowski, and P. S. Kim. 1998. Evidence that a prominent cavity in the coiled coil of HIV type 1 gp41 is an attractive drug target. Proc. Natl. Acad. Sci. U S A 95:15613-15617.	
	2	Chan, D. C., D. Fass, J. M. Berger, and P. S. Kim. 1997. Core structure of gp41 from the HIV envelope glycoprotein. Cell 89:263-273.	
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	4	Eckert, D. M., V. N. Malashkevich, L. H. Hong, P. A. Carr, and P. S. Kim. 1999. Inhibiting HIV-1 entry: discovery of D-peptide inhibitors that target the gp41 coiled-coil pocket. Cell 99:103-115.	
	5	Ernst, J. T., O. Kutzki, A. K. Debnath, S. Jiang, H. Lu, and A. D. Hamilton. 2002. Design of a Protein Surface Antagonist Based on alpha-Helix Mimicry: Inhibition of gp41 Assembly and Viral Fusion. Angew. Chem. Int. Ed Engl. 41:278-281.	
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	10	Jiang, S., Q. Zhao, and A. K. Debnath. 2002. Peptide and Non-peptide HIV Fusion Inhibitors. Curr. Pharm. Des. 8:563-580.	

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Completion if Known**

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First Named Inventor	JIANG, Shibo
Art Unit	
Examiner Name	
Attorney Docket Number	706-A-US

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	11	Lin, P. F., W. Blair, T. Wang, T. Spicer, Q. Guo, N. Zhou, Y. F. Gong, H. G. Wang, R. Rose, G. Yamanaka, B. Robinson, C. B. Li, R. Fridell, C. Deminie, G. Demers, Z. Yang, L. Zadjura, N.	
		Meanwell, and R. Colonno. 2003. A small molecule HIV-1 inhibitor that targets the HIV-1 envelope and inhibits CD4 receptor binding. Proc. Natl. Acad. Sci. U. S. A 100:11013-11018.	
	12	Liu, S., Q. Zhao, and S. Jiang. 2003. Determination of the HIV-1 gp41 postfusion conformation modeled by synthetic peptides: applicable for identification of the HIV-1 fusion inhibitors. Peptide In press.	
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	14	Zhao, Q., J. T. Ernst, A. D. Hamilton, A. K. Debnath, and S. Jiang. 2002. XTT formazan widely used to detect cell viability inhibits HIV type 1 infection in vitro by targeting gp41. AIDS Res. Hum. Retroviruses 18:989-997.	

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